

The Montreal Process Criteria and Indicators

The Montreal Process is a collaborative effort among 12 countries to better understand and define what sustainable forest management means, and to identify measures by which countries can gauge their progress. Those countries have agreed on a set of seven criteria that identify what is important in sustainable forest management and 67 indicators for measuring progress toward it. The agreement to use the criteria and indicators is voluntary. All 12 countries have produced assessments to date. The 12 countries include:



Argentina	China	New Zealand
Australia	Japan	Russian Federation
Canada	Republic of Korea	United States of America
Chile	Mexico	Uruguay

CRITERION 1: CONSERVATION OF BIOLOGICAL DIVERSITY

Biological diversity includes the elements of the diversity of ecosystems, the diversity between species, and genetic diversity in species.

Indicators

Ecosystem diversity:

- 1.1 Extent of area by forest type relative to total forest area;
- 1.2 Extent of area by forest type and by age class or successional stage;
- 1.3 Extent of area by forest type in protected area categories as defined by International Union for Conservation of Nature and Natural Resources (IUCN) or other classification system;
- 1.4 Extent of area by forest type in protected areas defined by age class or successional stage;
- 1.5 Fragmentation of forest types.

Species diversity:

- 1.6 The number of forest dependent species;
- 1.7 The status (threatened, rare, vulnerable, endangered, or extinct) of forest dependent species at risk of not maintaining viable breeding populations, as determined by legislation or scientific assessment.

Genetic diversity:

- 1.8 Number of forest dependent species that occupy a small portion of their former range;
- 1.9 Population levels of representative species from diverse habitats monitored across their range.

CRITERION 2: MAINTENANCE OF PRODUCTIVE CAPACITY OF FORESTS

Productive capacity is the ability of forest ecosystems to produce wood and other products.

Indicators

- 2.1 Area of forest land and net area of forest land available for timber production;
- 2.2 Total growing stock of both merchantable and non-merchantable tree species on forest land available for timber production;
- 2.3 The area and growing stock of plantations of native and exotic species;
- 2.4 Annual removal of wood products compared to the volume determined to be sustainable;
- 2.5 Annual removal of non-timber forest products (e.g., fur bearers, berries, mushrooms, game), compared to the level determined to be sustainable.

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Criteria and Indicators (cont.)

CRITERION 3: MAINTENANCE OF FOREST ECOSYSTEM HEALTH AND VITALITY

Maintaining forest ecosystem health ensures that basic ecosystem processes such as nutrient cycling or seed dispersal are operating within the range of historical variation.

Indicators

- 3.1 Area and percent of forest affected by processes or agents beyond the range of historic variation, e.g. by insects, disease, competition from exotic species, fire, storm, land clearance, permanent flooding, salinisation, and domestic animals;
- 3.2 Area and percent of forest land subjected to levels of specific air pollutants (e.g., sulfates, nitrate, ozone) or ultraviolet B that may cause negative impacts on the forest ecosystem;
- 3.3 Area and percent of forest land with diminished biological components indicative of changes in fundamental ecological processes (e.g., soil nutrient cycling, seed dispersion, pollination) and/or ecological continuity (monitoring of functionally important species such as fungi, arboreal epiphytes, nematodes, beetles, wasps, etc.).

CRITERION 4: CONSERVATION AND MAINTENANCE OF SOIL AND WATER RESOURCES

This criterion encompasses the conservation of soil and water resources. It also includes the functions of forests that both protect and produce these resources.

Indicators

- 4.1 Area and percent of forest land with significant soil erosion;
- 4.2 Area and percent of forest land managed primarily for protective functions (e.g., watersheds, flood protection, avalanche protection, riparian zones);
- 4.3 Percent of stream kilometers in forested catchments in which stream flow and timing has significantly deviated from the historic range of variation;
- 4.4 Area and percent of forest land with significantly diminished soil organic matter and/or changes in other soil chemical properties;
- 4.5 Area and percent of forest land with significant compaction or change in soil physical properties resulting from human activities;
- 4.6 Percent of water bodies in forest areas (e.g., stream kilometers, lake hectares) with significant variance of biological diversity from the historic range of variability;
- 4.7 Percent of water bodies in forest areas (e.g., stream kilometers, lake hectares) with significant variation from the historic range of variability in pH, dissolved oxygen, levels of chemicals (electrical conductivity), sedimentation or temperature change;
- 4.8 Area and percent of forest land experiencing an accumulation of persistent toxic substances.

CRITERION 5: MAINTENANCE OF FOREST CONTRIBUTION TO GLOBAL CARBON CYCLES

Forests can temporarily sequester (store) carbon as the trees grow. Conversely, as trees rot or burn they return carbon to the atmosphere.

Indicators

- 5.1 Total forest ecosystem biomass and carbon pool, and if appropriate, by forest type, age class, and successional stages;
- 5.2 Contribution of forest ecosystems to the total global carbon budget, including absorption and release of carbon (standing biomass, coarse woody debris, peat, and soil carbon);
- 5.3 Contribution of forest products to the global carbon budget.

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Criteria and Indicators (cont.)

CRITERION 6: MAINTENANCE AND ENHANCEMENT OF LONG-TERM SOCIO-ECONOMIC BENEFITS

This criterion includes multiple societal benefits including production and consumption; recreation and tourism; investment in the forest sector; cultural, social and spiritual needs and values; and employment and community needs.

Indicators

Production and consumption:

- 6.1 Value and volume of wood and wood products production, including value added through downstream processing;
- 6.2 Value and quantities of production of non-wood forest products;
- 6.3 Supply and consumption of wood and wood products, including consumption per capita;
- 6.4 Value of wood and non-wood products production as percentage of Gross Domestic Product (GDP) [the total value of goods and services produced by a nation];
- 6.5 Degree of recycling of forest products;
- 6.6 Supply and consumption/use of non-wood products.

Recreation and tourism:

- 6.7 Area and percent of forest land managed for general recreation and tourism, in relation to the total area of forest land;
- 6.8 Number and type of facilities available for general recreation and tourism, in relation to population and forest area;
- 6.9 Number of visitor days attributed to recreation and tourism, in relation to population and forest area.

Investment in the forest sector:

- 6.10 Value of investment, including investment in forest growing, forest health and management, planted forests, wood processing, recreation and tourism;
- 6.11 Level of expenditure on research and development, and education;
- 6.12 Extension and use of new and improved technologies;
- 6.13 Rates of return on investment.

Cultural, social and spiritual needs and values:

- 6.14 Area and percent of forest land managed in relation to the total area of forest land to protect the range of cultural, social and spiritual needs and values;
- 6.15 Non-consumptive use forest values.

Employment and community needs:

- 6.16 Direct and indirect employment in the forest sector and forest sector employment as a proportion of total employment;
- 6.17 Average wage rates and injury rates in major employment categories within the forest sector;
- 6.18 Viability and adaptability to changing economic conditions, of forest dependent communities, including indigenous communities;
- 6.19 Area and percent of forest land used for subsistence purposes.

The Montreal Process Criteria and Indicators (cont.)

CRITERION 7: LEGAL, INSTITUTIONAL AND ECONOMIC FRAMEWORK FOR FOREST CONSERVATION AND SUSTAINABLE MANAGEMENT

This criterion includes social agreements that promote and protect responsible management, public awareness, property rights, and other socio-cultural functions.

Indicators

Legal framework (laws, regulations, guidelines):

- 7.1 Clarifies property rights, provides for appropriate land tenure arrangements, recognizes customary and traditional rights of indigenous people, and provides means of resolving property disputes by due process;
- 7.2 Provides for periodic forest-related planning, assessment, and policy review that recognizes the range of forest values, including coordination with relevant sectors;
- 7.3 Provides opportunities for public participation in public policy and decision-making related to forests and public access to information;
- 7.4 Encourages best practice codes for forest management;
- 7.5 Provides for the management of forests to conserve special environmental, cultural, social and/or scientific values.

Institutional framework:

- 7.6 Provide for public involvement activities and public education, awareness and extension programs, and make available forest-related information;
- 7.7 Undertake and implement periodic forest-related planning, assessment, and policy review including cross-sectoral planning and coordination;
- 7.8 Develop and maintain human resource skills across relevant disciplines;
- 7.9 Develop and maintain efficient physical infrastructure to facilitate the supply of forest products and services and support forest management;
- 7.10 Enforce laws, regulations and guidelines.

Economic framework:

- 7.11 Investment and taxation policies and a regulatory environment which recognize the long-term nature of investments and permit the flow of capital in and out of the forest sector in response to market signals, non-market economic valuations, and public policy decisions in order to meet long-term demands for forest products and services;
- 7.12 Non-discriminatory trade policies for forest products.

Capacity to measure and monitor changes:

- 7.13 Availability and extent of up-to-date data, statistics and other information important to measuring or describing indicators associated with criteria 1-7;
- 7.14 Scope, frequency and statistical reliability of forest inventories, assessments, monitoring and other relevant information;
- 7.15 Compatibility with other countries in measuring, monitoring and reporting on indicators.



Olympic National Park

The Montreal Process Criteria and Indicators (cont.)

Capacity to conduct and apply research and development:

- 7.16 Development of scientific understanding of forest ecosystem characteristics and functions;
- 7.17 Development of methodologies to measure and integrate environmental and social costs and benefits into markets and public policies, and to reflect forest-related resource depletion or replenishment in national accounting systems;
- 7.18 New technologies and the capacity to assess the socio-economic consequences associated with the introduction of new technologies;
- 7.19 Enhancement of ability to predict impacts of human intervention on forests;
- 7.20 Ability to predict impacts on forests of possible climate change.

Sources

Montreal Process Working Group. "Criteria and Indicators for the Conservation and Sustainable Management of Temperate and Boreal Forests, 2nd edition." The Montreal Process. December 1999a. http://www.rinya.maff.go.jp/mpci/rep-pub/1995/santiago_e.html (accessed January 25, 2008).